

Remember the Protofibrils

Michael White*

Department of Biology
Lake Forest College
Lake Forest, Illinois 60045

[Role playing: **Peter Lansbury, Jr.**
Center for Neurologic Diseases,
Brigham and Women's Hospital, and Department of
Neurology,
Harvard Medical School,
65 Landsdowne St., Cambridge, Massachusetts 02139]

Summary

The highly prevalent neurodegenerative diseases Alzheimer's (AD), Parkinson's (PD), and Amyotrophic Lateral Sclerosis (ALS) have managed to elude countless efforts directed at understanding their causative agents and pathogenesis. Each of these diseases is characterized by abnormal protein aggregation under an unknown modi operandi we have determined to illuminate. AD pathology consists of neurofibrillary tangles and β -amyloid plaques in numerous brain regions, most importantly the hippocampus. Our studies have focused on β -amyloid plaques, and we have demonstrated that a protofibrillar intermediate exists in the pathway from monomeric β -amyloid to fibril and plaque formation. Our studies of the α -Synuclein aggregates characteristic of PD, known as Lewy Bodies, have revealed a protofibrillar species that may be critical in PD pathogenesis. Our studies of ALS have focused on superoxide dismutase-1 (SOD1) because previous studies have demonstrated that the A4N mutation in SOD1 leads to increased susceptibility to disease and forms aggregates within spinal motor neurons but not fibrils. We have shown that the SOD1 functions properly as a dimer and forms aggregates when it dissociates into monomers. We subsequently found a molecule that stabilized the dimer and prevented aggregation. These three neurodegenerative diseases all refer to common theme, which is abnormal protein aggregation. Our research has led us to support the hypothesis that, in the cases of PD and AD, a toxic protofibrillar intermediate is the causative agent in each disease. Treatment methods aimed at blocking protofibril formation may prove to be most effective.

Introduction

The neurodegenerative diseases Parkinson's (PD), Alzheimer's (AD) and Amyotrophic Lateral Sclerosis (ALS) are three of the most prevalent and heavily studied. Alzheimer's alone affects 4.5 million Americans, 50% of individuals over 85 years old, and received an estimated \$647 billion of funding in 2005 from the American Government¹. Parkinson's disease

affects 1.5 million Americans, and 1 in 100 individuals over 60 years of age are diagnosed with the disease². Amyotrophic Lateral Sclerosis affects an estimated 30,000 Americans and has an incidence of 2 in 100,000 individuals³. Together, these diseases represent prime research targets. The defining characteristics of AD are dementia and memory loss due to atrophy of hippocampal neurons located in the medial temporal lobe. These neurons are crucial for embedding memories in cortical regions of the frontal lobe, and retrieving memories from these long-term storage sites. Upon autopsy, the AD brain is characterized by increased size/depth of sulci and widening of the lateral ventricles. Familial Alzheimer's Disease (FAD) accounts for roughly 10% of all cases and is the result of the A β 40 and A β 42 mutations in the amyloid precursor protein (APP)⁴.

The PD Brain consists of neurofibrillary tangles composed primarily of α -synuclein in structures called Lewy Bodies⁴. These fibrillar deposits are specific to dopaminergic neurons of the substantia nigra. PD is characterized by a motor initiation deficiency in which the patient has postural deficits and resting tremor in the hands and other limbs that develop when substantia nigra atrophy nears ~60%^{4,6}.

ALS is the result of spinal neuron atrophy⁷. Degeneration of these motor neurons leads to the degeneration of skeletal muscles and death within five years⁷. ALS contrasts AD and PD because it progresses more rapidly and is often found in adolescents. The protein involved is known to be the superoxide dismutase-1 (SOD1) enzyme⁴. Similar to AD and PD, ~20% of ALS cases are the result of SOD1 mutations. Our research is concentrated on these mutations and their effects^{4,7,8}.

In common between AD, PD, and ALS is that all three demonstrate abnormal protein aggregation or fibrillization. Through an unknown mechanism, α -synuclein, β -amyloid, and SOD1 form fibrils and aggregates that have come to define each disease. Our lab has set out to determine if it is the protein aggregates/fibrils, an intermediate in aggregate/fibril formation, or a deficiency in the degradation of the relevant protein that causes these diseases.

Alzheimer's Disease

Biological Basis

The definitive biological basis of AD remains to be elucidated. However, Dr. Alzheimer noted the presence of extra-cellular neurofibrillary plaques that were later found to be composed of β -amyloid which is a product of the cleavage of Alzheimer's Pre-Cursor Protein (APP)⁴. In addition, he noted intra-cellular tangles that have recently been found to contain Tau protein. These two features of AD occur in conjunction with disease progression⁵. Genetically, two β -amyloid mutants, A β 42 and A β 40, have been implicated in a causal role in FAD and are the focus of many of our studies⁴.

Prior to our research, a definitive biological mechanism for AD remained unknown, and research focused mainly on the β -amyloid plaques and neurofibrillary tangles as the likely disease causing agents⁵. Furthermore, the β -amyloid fibrillization

* This paper was written for BIO346 Molecular Neuroscience. In this assignment, Michael White role-played a noted biologist, Peter Lansbury, Jr., and wrote a state-of-the-art review article on Dr. Lansbury's research field, as if he were Dr. Siegel himself. He then presented a PowerPoint seminar as Dr. Lansbury in an annual public student research conference "NeuroFrontiers" held at Lake Forest College.

pathway had not been discovered. It had been determined that amyloid plaque formation was dependent on the monomeric concentration of A β ⁵. Thus, in order for A β fibrils to form, a critical concentration had to be reached⁵. Most importantly, a modus operandi had not been established for plaque/fibril formation and no intermediates had been identified. Our early research focused specifically on illuminating the pathway to fibril formation.

Model System of AD

Several model systems are currently referred to for the study of AD but none express all features characteristic of human AD. A transgenic mouse model expressing one of two known (FAD) mutants, A β 42 and A β 40, produces plaques but not tangles and demonstrates memory deficiency⁴. A second mouse model that was transgenic for wild type (WT) human β -amyloid has a non-fibrillar phenotype but retains memory deficits⁴. The WT transgenic model provides strong evidence for a protofibrillar intermediate as the causative agent of AD that we will subsequently discuss⁴.

Illuminating the Protofibril

In order to support the current (1993) hypothesis that fibrils were the AD causative agent, a detailed understanding of the pathway to fibril formation had to be achieved⁵. Therefore, our 1993-1997 experiments focused on understanding this pathway. Through atomic force microscopy (AFM), we were able to observe the transition from monomer to fibril of A β 40, A β 42, and WT *in vitro*¹⁰. In this experiment, we discovered the A β protofibril¹⁰. Prior to fibril formation, there was a stable intermediate that elongated over time to form a fibril, which we named the protofibril¹⁰. Significantly, as protofibril concentration decreased, fibril concentration increased¹⁰. In addition, A β 40 was found to form fibrils at an increased rate compared to A β 42¹⁰. Future research would contradict this difference in formation speed⁹.

Following our discovery of the A β protofibril¹⁰, we gathered more evidence to support its existence. *In vitro*, a solution containing A β 40 protofibrils was used to study the transition from protofibril to fibril because A β 40 had been found to fibrillize slower than A β 42^{5,11}. Addition of fibrils to the protofibrillar solution led to immediate formation of amyloid fibrils¹¹. In contrast, addition of protofibrils to the protofibrillar solution did not yield any fibrils for more than 15 days¹¹. This experiment showed that fibril formation from protofibrils could only be seeded by pre-formed fibrils¹¹. More importantly, we have demonstrated that protofibrils are incorporated into fibrils, refuting criticism that suggests the protofibril is formed as a byproduct of fibril formation or numerous other alternatives^{10,11}.

The Protofibril

Atomic force microscopy (AFM) provides a three-dimensional image of a substrate by detecting surface changes on mica or compounds or similar compounds. We used AFM to study protofibril assembly/disassembly which are both related to concentration, temperature, and ionic environment⁹. A β 40 protofibrils elongate as A β 40 monomer is added to the ends. This can be accelerated with an increase in A β 40 monomer concentration⁹. In contrast to our previous study, we found A β 40 and A β 42 protofibril elongation to be similar^{9,10}. Disassembly of the A β 40 protofibrils occurred upon dilution of the protofibrillar solution⁹. We also found an increase in temperature

and NaCl concentration to coincide with an increase in protofibril elongation⁹.

Quantifying β -Amyloid Pre-Autopsy

The relationship between A β -amyloid fibrillization and AD associated cognitive decline was our most recent topic of research¹³. We hypothesized that a molecule could be designed to bind A β fibrils and be detected by single proton computed tomography (SPECT), allowing this relationship to be understood¹³. Rhenium complexes were synthesized containing the dye Congo Red and found to bind amyloid plaques¹³. This could be a potential break-through in the understanding of AD pathogenesis and diagnosis because it is low cost and detectable readily detectable¹³.

Compilation of our AD studies with those of competitors has led us to a four-step process for amyloid fibril formation⁹. A competitor found the first step by determining a small amount, possibly less than 20 A β monomer units, spontaneously interacted to form protofibrils¹². Second, the protofibril elongates by interacting with other small protofibrils⁹. Third, the protofibril to fibril conversion is due to interaction among elongated protofibrils⁹. Fourth, the A β fibril is elongated when placed in solution containing A β monomer¹¹. This new model projects much focus upon the A β protofibril as a possible AD causative agent.

Parkinson's Disease

Biological Basis

The biological basis of PD has yet to be elucidated but two mutations α -synuclein, A30P and A53T have been linked to Familial Parkinson's Disease (FPD)⁴. In addition, the S18Y mutant in the UCH-L1 enzyme and mutations in the enzyme *parkin* are both linked to FPD⁴. α -synuclein is known to be the primary component of the neurofibrillary inclusions called Lewy Bodies⁴. The enzymes UCH-L1 and *parkin* are known to aid in degradation of α -synuclein but an exact role has yet to be determined⁴. Our research over the past several years has focused on understanding the biological basis of PD and the relationship between Lewy Bodies and pathogenesis⁴. Prior to our research, the two mutant forms of α -synuclein, A30P and A53T had been linked to PD and known to be a component of Lewy Bodies, but the pathway to fibril formation was unknown as well as any intermediate species¹⁶. Pre-2000 research focused on the "old model" of disease causation until we discovered a protofibrillar intermediate (Figure 1)¹⁴.

Model Systems of PD

Several model systems for the study of PD exist but some are significantly more beneficial than others. There is a transgenic mouse model that over-expresses human WT α -synuclein⁴. These WT transgenic mice suffer atrophy of substantia nigra neurons and have motor initiation deficiency⁴. They also contain α -synuclein aggregates but they do not conform into a fibrillar structure⁴. A line of mice has been established that expresses both β -synuclein and α -synuclein resulting in no signs of PD⁴. A drosophila model has been established that is transgenic and expresses WT, A30P, or A53T⁴. The transgenic drosophila phenotype consists of movement initiation deficiency, atrophy of substantia nigra neurons, and α -synuclein fibrils⁴.

A30P & A53T Fibrillization

As of 1998, the relationship between mutant α -synuclein and rate of fibril formation had yet to be

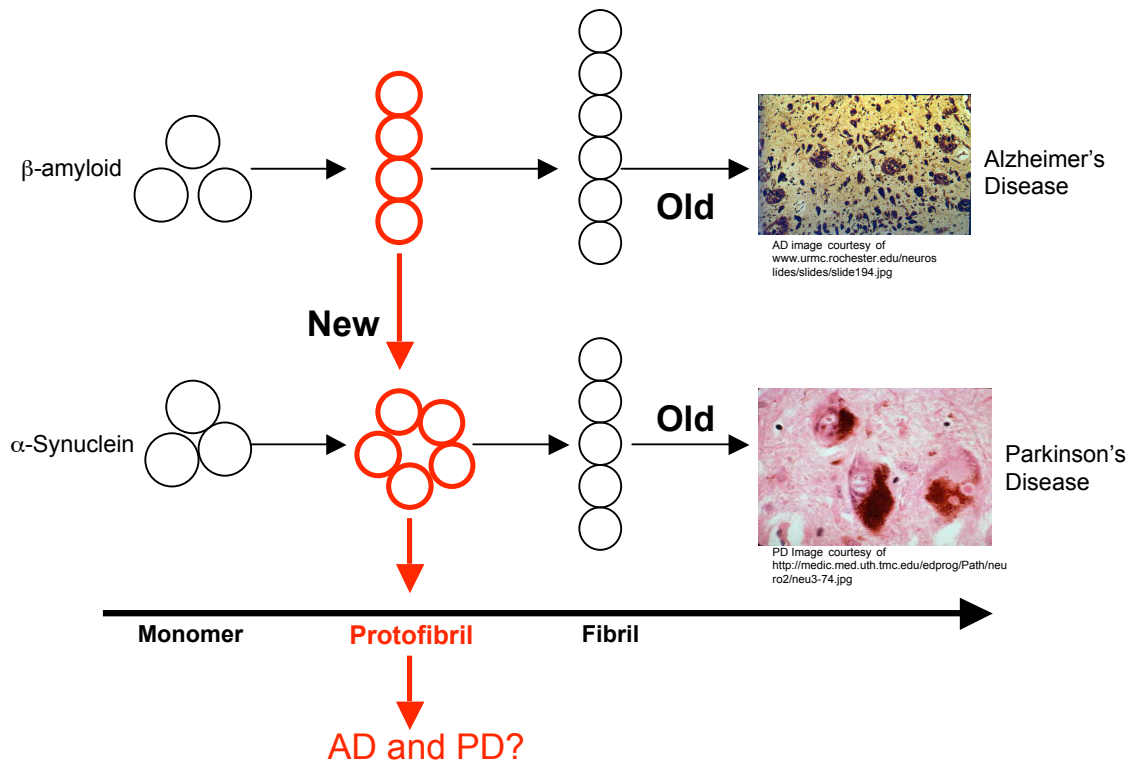


Figure 1: Diagrammatic pathway showing monomeric α -Synuclein and β -amyloid, and the old versus new model for AD and PD pathogenesis.

The circles represent α -synuclein in its monomeric, protofibrillar, and fibrillar conformations and their possible relations to PD and AD.

determined¹⁶. We set out to achieve this through *in vitro* analysis of the two α -synuclein mutants and human WT¹⁶. We found all to have a disordered conformation in monomeric form in dilute solution¹⁶. Increasing the α -synuclein concentration for either type led to formation of fibrils¹⁶. Notably, the A53T mutation was most rapid at fibril formation¹⁶. This finding led us to hypothesize that A53T accelerated fibril formation was the cause for FAD¹⁶.

The α -Synuclein Protofibril

In vitro, we studied A53T, A30P, and WT in relation to fibril formation¹⁴. We found monomeric consumption of A30P to be more rapid than WT and A53T to be more rapid than both¹⁴. However, a mixture of WT and A30P to fibrillize slower than unmixed WT and A30P¹⁴. Protofibrillar intermediates were separated from a solution containing monomers and Fibrils using gel-filtration chromatography¹⁴. These protofibrils were analyzed using AFM and determined to have a spherical conformation¹⁴. Since the mutations cause changes in the transition from monomer to protofibril and fibril formation, it is likely that some property such as resisting transition from protofibril to fibril (A30P) that PD results¹⁴.

Toxicity of the Protofibril

In vitro, we analyzed the rate of fibril formation between mouse α -synuclein, human WT, A53T, and A30P¹⁷. Mouse α -synuclein formed fibrils most rapidly when it was alone but upon addition of human WT the process was dramatically slowed¹⁷.

As stated earlier, the transgenic mouse expressing human WT α -synuclein did not develop fibrils but demonstrated symptoms of PD^{4,15}. Thus, this experiment supports the hypothesis that the toxic agent of PD is the protofibril¹⁷. It is possible that the transgenic mouse was unable to form protective fibrils in the presence of WT and accumulated toxic protofibrils^{15,17}. We next decided to study of the α -synuclein protofibril in hopes of illuminating the role of the potentially toxic agent¹⁸. We purified α -synuclein protofibrils to study its reactivity with synthetic membranes *in vitro* and found that the protofibrils bind the membranes as predominantly β -sheet rich spheres¹⁸. Pores were then formed in the membranes that resembled those of bacterial toxins¹⁸. Introduction of monomeric α -synuclein to membranes did not yield any pores¹⁸. The same result was observed for fibrils and membrane¹⁸. This study filled several gaps in knowledge¹⁸. First, the fibril formation pathway was discovered to contain a protofibril^{14,18}. Second, a role of α -synuclein had been demonstrated at the protofibrillar level (Figure 1)¹⁸.

Remember the Substantia Nigra

Neuronal atrophy of PD is localized to the substantia nigra for unknown reasons⁴. We hypothesized that a library of various molecules could be screened for one that inhibited the protofibril transition to fibril¹⁹. Our search resulted in finding 15 molecules that were theoretically capable of stabilizing the protofibril of which 14 were catecholamines similar to dopamine¹⁹. Specifically, an oxidized form of dopamine

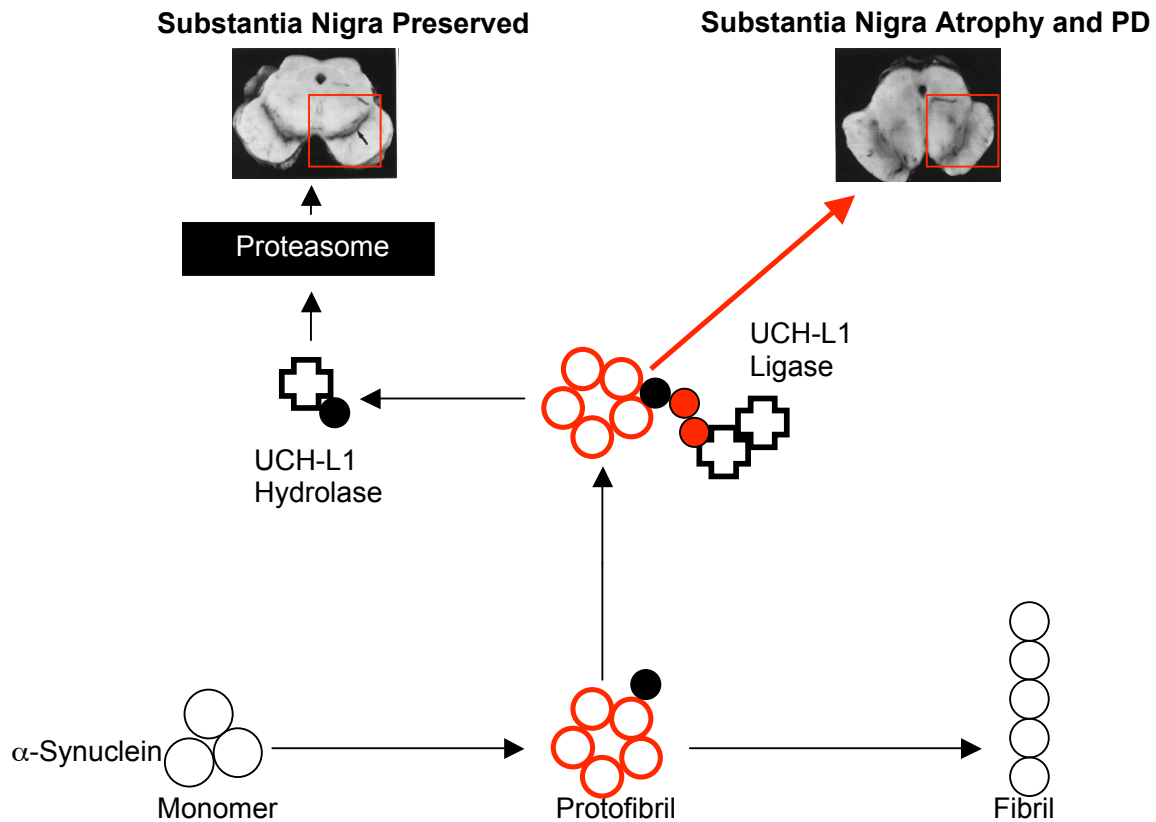


Figure 2: UCH-L1 Activity and a Model Relating it to the Toxic Protofibril Hypothesis. Under the toxic protofibril hypothesis, the disease causing agent is the α -synuclein protofibril, shown here as red circles. UCH-L1 (Cross shape) is part of the ubiquityl/proteasomal α -synuclein degradative pathway. UCH-L1 ligase activity is dependent on a dimeric conformation of the enzyme which adds additional molecules of ubiquitin (small red dots) to α -synuclein. Monomeric UCH-L1 (single cross) demonstrates hydrolase activity by removing/recycling ubiquitin molecules bound to α -synuclein before it enters the Proteasome. Increase in ligase activity may promote PD pathogenesis while an increase in hydrolase activity may prevent PD. Images courtesy of http://alzheimer.wustl.edu/adrc2/Research/Neuropathology/images/cerad_sn.bw.gif, retrieved on 22 November 2005

bound the α -synuclein protofibril and prevented the formation of fibrils¹⁹. It is reasonable to hypothesize that the dopaminergic substantia nigra neurons are specific to PD because of their dopamine producing capabilities¹⁹.

Remember the Proteasome

The *parkin* enzyme functions as part of the ubiquityl/proteasomal pathway for several intracellular and membrane bound proteins, including α -synuclein^{4,20}. *Parkin* is a ubiquityl ligase that adds ubiquitin to O-glycosylated α -synuclein destined for proteasomal degradation²⁰. Numerous *parkin* mutations have been identified but a direct effect has yet to be seen²⁰.

Ubiquitin C-hydrolase-1 (UCH-L1) functions as part of the ubiquityl/proteasomal degradative pathway of α -synuclein^{4,20,21,4}. UCH-L1 mutants I93M and S18Y had been identified prior to our research. I93M was implicated in a significant increase in FPD development and S18Y a decrease²⁰. Our research built off the known UCH-L1 hydrolyzing role of removing/recycling ubiquitin from α -synuclein allowing for its degradation^{20,21} (Figure 2).

We studied UCH-L1 in the I93M and S18Y mutants on α -synuclein *in vitro*²¹. I93M was known to increase FAD susceptibility whereas S18Y appeared to protect against FAD^{21,20}. Our findings revealed a dual role of UCH-L1 that directed related to the type of mutation assessed²¹. We found UCH-L1 to have a dimeric conformational ubiquityl ligase activity, and a monomeric ubiquityl hydrolase activity²¹. An increase in dimeric ligase function was found to correlate with increased levels of α -synuclein and the I93M mutant²¹. The S18Y mutant was found to reduce dimerization, decrease ligase activity, and increase hydrolase activity, resulting in a decrease in α -synuclein concentration²¹. This study leads to several hypothesis concerning the role of α -synuclein monomer, protofibril or fibril degradation and PD^{4,20,21} (Figure 2).

Return to the Toxic Protofibril

Following our elucidation of the PD protofibril and its potentially toxic functions, a detailed study of protofibrillar structure and functions had yet to be accomplished. Use of AFM allowed us to research these unknown protofibrillar characteristics and shed some light onto a dimly lit species.

As mentioned in our 2001 paper, protofibrillar α -synuclein was found to bind membranes and form potentially pathogenic pores^{18,22}. We elaborated on that study and made several discoveries in protofibril structure and function. The spherical and annular protofibrils were studied in order to determine their interaction with membranes. Spherical protofibrils (A50P, A53T, and WT) bound membranes but annular forms, monomers, and fibrils did not²². Most significantly, a solution containing membrane and spherical protofibrils led to membrane pore formation that was imaged with AFM²². We noted that the pores formed by the β -sheet rich spherical protofibrils resembled those of bacterial toxins²². This provides further evidence that the spherical protofibril is indeed to PD causative agent²². Our observations of the spherical protofibril have led us to hypothesize that the PD pathogenesis could result from increased spherical protofibril concentration resulting from a decrease in spherical to annular to fibril formation²².

Protofibrils & Cytoplasmic Crowding

Protofibril formation is known to be accelerated in the A30P and A53T mutants but the majority of PD cases are sporadic^{4,16,23}. These early researches lead us to the later hypothesis that there must be some other mechanism responsible for accelerating protofibril formation in WT²³. To fill this gap in knowledge, we hypothesized that protofibril formation could be accelerated by crowding the cell with inert material²³. *In vitro*, we found an increase in WT protofibril formation occurred with an increase in concentration of an inert polymer²³. As mentioned in our earlier studies, a lag time exists between monomer to protofibril formation to fibril formation²³. Our data showed that the lag time for protofibril formation decreased from 3 days to 1 day when 5% PEG 20000 (inert polymer) was added²³. An increase in the magnitude of crowding comparable to that in our study could feasibly occur in a cellular environment that had decreased protein degradation, such as that seen in UCH-L1 dysfunction²³.

α & β Synuclein Demonstrate Profound Interactions

The α -synuclein homologue β -synuclein is known to be 78% identical to α -synuclein²⁴. It has been previously demonstrated that a double-transgenic mouse expressing both human α -synuclein and β -synuclein did not develop any Parkinsonian symptoms indicating that β -synuclein possibly inhibits the toxic agent of PD²⁴. We wanted to further support the hypothesis that the protofibril was the toxic species²⁴. We hypothesized that *in vitro* β -synuclein would inhibit α -synuclein protofibril formation. We initially found that both monomeric homologues formed protofibrils but β -synuclein protofibrils did not bind and form pores in vesicles²⁴. We then mixed monomeric solutions of β -synuclein and A53T α -synuclein, resulting in inhibition of A53T protofibril formation²⁴. This finding further supported the toxic protofibril hypothesis because the non-PD phenotype demonstrated in the double-transgenic mouse aligned with our *in vitro* data showing β -synuclein to inhibit A53T protofibril formation^{24,25}.

Remember the N-Terminus

α -synuclein is known to have a seven-conserved amino acid sequence on its N-terminus containing both A30P and A53T mutations²⁶. We studied a plus 2 and minus 2 sequence mutant to elucidate the evolutionary conservation of these seven amino acids²⁶. We found the minus 2 mutant to favor β -sheet formation over α -helix and the plus 2 mutant to favor α -helical structure. As demonstrated in earlier studies, the spherical, pore forming protofibrils are rich in β -sheet, thus the

conserved amino acid sequence may have been evolutionarily conserved for its α -helical, non-PD favoring characteristics^{14,22,26}.

Amyotrophic Lateral Sclerosis

Biological Basis

The biological basis of Amyotrophic Lateral Sclerosis (ALS) has yet to be discovered⁴. The pathology of the disease consists of spinal motor neuron atrophy with skeletal muscle degeneration in parallel⁴. Similar to PD and AD, Familial ALS is characterized by mutations in the superoxide dismutase-1 (SOD1) enzyme^{4,7,8}. SOD1 normally converts superoxides to hydrogen peroxide⁴. The protein aggregates found in ALS neurons contain copious amounts mutant SOD1⁴. It remains unknown if WT SOD1 forms aggregates, and if fibrils are formed⁴.

The primary model system for ALS research is the mouse⁴. The most important of these models was a mouse transgenic for a disabled active-site SOD1 enzyme demonstrated that ALS was not the result of SOD1 enzymatic activity because the mouse developed ALS⁴.

Prior to our research, the SOD1 mutant had been identified in Familial ALS and the function of the enzyme was known^{4,7,8}. However, the mechanism for mutant SOD1 aggregate formation remained elusive^{4,27}. SOD1 was known to function as a dimer but further detailing had not been accomplished^{4,7}. Our research was directed at mutant SOD1 and establishing a pathway for its presence in aggregates^{7,8}.

SOD1 & Aggregation

We studied the he Familial ALS associated mutant SOD1 A4V which is known to be extremely virulent. WT SOD1 dimer is more stable than the mutant leading us to hypothesize that dissociation of the dimer into monomeric form was somehow involved in ALS aggregate formation and pathology⁷. In order to test this hypothesis, we introduced a disulfide bridge at V148C to stabilize the dimer through covalent modification⁷. We found (*in vitro*) the unmodified A4V mutant to contain a lesser dimeric concentration compared to WT indicating that its stability was decreased⁷. Introduction of the disulfide bridge stabilized the A4V mutant dimer and prevent its breakdown into monomers and subsequent aggregate formation⁷.

Building on our previous finding that aggregate formation was the result of an unstable A4V dimer, we screened banks of molecules for ones that could possibly stabilize the dimer^{6,7}. We found 15 molecules that stabilized the dimer, four of which conferred near identical stability to the WT⁸. These two discoveries are potentially critical in the understanding of ALS⁸. We have identified the loss of dimeric function in SOD1 as a result of the A4N mutation of Familial ALS and found the resulting monomer to aggregate. In relation to AD and PD, pore like aggregates were observed in A4V mutant SOD1, suggest a possible link between the three diseases⁸. We have also demonstrated a novel approach toward stabilization of mutant SOD1^{7,8}.

Treatments

Currently, there is no cure for Alzheimer's, Parkinson's, or Amyotrophic Lateral Sclerosis. As a direct result of our years worth of research, we believe that the most effective treatment strategy for Alzheimer's and Parkinson's diseases would be aimed at preventing protofibril formation^{27,28}. If protofibrillar formation can be prevented, than the apparently toxic affects, such as membrane pore formation, can be prevented along with

the phenotypical A β plaques and α -synuclein Lewy Bodies^{27,28}. Parkinson's treatment may take aim at several key processes. Inhibition of UCH-L1 ligase activity by preventing dimerization would increase hydrolase activity and increase α -synuclein degradation. In addition, over expression of β -synuclein could provide another means for protofibril repression²⁴. ALS treatments that focused on using the compounds noted in our research could stabilize the SOD1 dimer and prevent dissociation and subsequent aggregation^{7,8}. It must be noted that we believe the pharmaceutical industry to be slowing the progression of treatment formation. We believe this is due to our lack of a complete understanding of the modi operandi underlying AD, PD, and ALS²⁷. Penicillin and aspirin were developed before their effective mechanism had been developed. The same applies for AD, PD, and ALS²⁷.

Conclusion

Alzheimer's, Parkinson's, and Amyotrophic Lateral Sclerosis represent the most prevalent neurodegenerative disorders⁴. Despite all of our research efforts over the past decade, each disease remains terminal. Our research has closely portrayed AD and PD in that a potentially toxic protofibril was identified and the beginnings of its functions illuminated⁴. All of our AD and PD studies have returned to the protofibril its β -sheet rich pore forming structure⁴. Though we identified an aggregate structure similar to that of the AD and PD protofibrillar pores, more research will need to be done to determine the properties of this the SOD1 aggregate^{7,8}. Early 1990's AD and PD research began with the assumption that the toxic agent was the plaque or Lewy Body because they were found upon autopsy⁵. In the years since this hypothesis was widely accepted, a new toxic protofibril hypothesis has emerged and future research appears to be in that direction⁷.

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